DRIVEN
WEALTH MANAGEMENT

401(k) Service Offering

1) Comprehensive Plan Review
    a. Annual Analysis
    b. Plan Demographics
    c. Investments
        i. Investment Policy Statement - Creation and Monitoring
    d. Costs
    e. Regulation Updates

2) Internal 401k Procedures Review and Support for HR
    a. Document Storage
    b. Beneficiary Records
    c. Contribution Change Confirmation
    d. Loan/Hardship Documentation
    e. Notification of Eligible Employees
    f. Auto Enrollment

3) Ongoing Support for Business Owners
    a. Plan Design and Purpose Overview
    b. Annual 401k Strategy Planning
    c. Procedural Questions
    d. Employee Benefits Analysis
        i. Open-enrollment Support
    e. Competitive Benchmarking

4) Coordination
    a. Unlimited support and contact with additional third-parties
        i. Recordkeeper ii. Third Party Administrator (TPA) iii. Accountant iv. Attorney v. Auditors

5) Employee Education
    a. Engaging and Empowering Seminar
        i. Drives Understanding and Enrollment
        ii. Increased Employee Retention
        iii. Competitive Differentiator

6) Comprehensive Financial Planning - Available for Additional Planning Needs
    a. Employees Receive 25% off Driven Member Rate
    b. Includes 3 In Person/Virtual Meetings and Unlimited Phone/Email Support

7) ERISA 3(38) Fiduciary Support Available
    a. Provides Ability for Advisor Investment Discretion
8) Plan Pricing Review Every 3 Years
9) Plan Document Review every 3 years

Annual Cost = .75% of assets being managed in plan
$3,000 minimum

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